

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: EDWARD W. ARMSTRONG ET AL

FOR: AN OPTIMAL METHOD, SYSTEM, AND STORAGE MEDIUM FOR
RESOLVING DEMAND AND SUPPLY IMBALANCES

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty to disclose, applicants submit herewith a copy of each publication of which those designated by 37 CFR § 1.56 are aware; the publications are listed on attached form PTO-1449. The present Information Disclosure Statement shall not be construed as a representation that a search has been made or that no other art than that identified on the attached form PTO-1449 exists.

Consideration of this Information Disclosure Statement is respectfully requested, since the Examiner may consider the information provided herein material to the patentability of the subject application as defined in 37 CFR § 1.56.

Since this Information Disclosure Statement is being filed within three months of the filing date of the subject application, no fee or certification under 37 CFR § 1.97 (e) is

required.

However, in the event the Commissioner of Patents deems that any fee is required under 37 CFR §§ 1.16 and 1.17 in connection with this application, applicants' attorneys authorize that such fee be charged to Deposit Account No. 50-0510.

Respectfully submitted,

EDWARD W. ARMSTRONG ET AL

CANTOR COLBURN LLP
Applicants' Attorneys

By: Marisa J. Dubuc
Marisa J. Dubuc
Registration No. 46,673
Customer No. 23413

Date: September 12, 2003
Address: 55 Griffin Road South, Bloomfield, CT 06002
Telephone: (860) 286-2929

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Docket Number (Optional)

YOR920030312US1

Application Number

Not Yet Assigned

Applicant(s)

Edward W. Armstrong et al

Filing Date

September 12, 2003

Group Art Unit

Not Yet Assigned

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		US2002/0039722 A1	4/4/2002	Lippman			

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

		Aberdeen Group. "Optimizing Demand Chain Processes for High-Tech Manufacturing. An e-Business Roadmap for 2002 and Beyond". Intershop Communication, Inc., 2002
		Afriat, Sydney. "Market Equilibrium and Stability." Quaderni, Siena University, Italy, September, 1999.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>		Docket Number (Optional) YOR920030312US1	Application Number Not Yet Assigned
		Applicant(s) Edward W. Armstrong et al	
		Filing Date September 12, 2003	Group Art Unit Not Yet Assigned
*EXAMINER INITIAL	OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>		
	Bowman, Robert J. "Click-and-Mortar Retailers Prevail While Dotcoms Fade". Global Logistics & Supply Chain Strategies, December 2001, pp. 32-37.		
	Haigis, Mary. "Sell-side Solutions and the Customer-Centric Enterprise". Supply Chain e-Business, July/August, 2002, pp. 50-52.		
	Hoffman, Kurt C. "Dunlop Stops 'Pushing' Tires at Plant in South Africa". Global Logistics & Supply Chain Strategies, October 2001, pp. 42-50.		
	Hoffman, Kurt C. "Who's That Behind FosterGrant's Demand Planning?" Global Logistics & Supply Chain Strategies, June 2002, pp. 42-47.		
	Kling, Arnold. "Efficiency, Supply and Demand, and Market Clearing." August, 2002.		
	McCullough-Kilgore, Stacie. "Planning Applications Balance Inventory Supply and Demand". Supply Chain e-Business, July/August, 2002, pp. 22-24.		
	Murphy, Jean V. "Forecasting Tool Lowers Coke Bottler's Inventory". Global Logistics & Supply Chain Strategies, November 2002, pp. 41-44.		
	O'Marah, Kevin. "Best Practices for Collaborative Forecasting". AMR Research Report, March, 2002.		
	Pallattoo, John. "Data Tools Expose Sales Opportunities". Internet World, January 2003, pp. 12-13.		
	Pingle, Mark and Leigh Tesfatsion. "Walras' Law in Overlapping Generations Economies". University of Nevada, Reno, October 1997.		
	Pursell, David. "Supply and Demand: The Only Characters that Matter!". Simmons & Company International, Houston, TX, September, 1998.		
	Wattenberg, Frank. "Dynamic Systems, Continuous and Discrete Models". Montana State University, Bozeman, MT, 1997		
EXAMINER		DATE CONSIDERED	
<p>*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>			